FPA®
FINANCIAL PLANNING ASSOCIATION
The Heart of Financial Planning™

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Code of Ethics

This Code of Ethics is an expression of the financial planning profession's recognition of its responsibilities to the public, to clients, to colleagues and to employers. These principles apply to all Financial Planning Association® (FPA®) members and provide guidance to them in the performance of their professional services.

Principle 1 Integrity

Provide professional services with integrity.

Principle 2 Objectivity

Provide professional services objectively.

Principle 3 Competence

Maintain the knowledge and skill necessary to provide professional services competently.

Principle 4 Fairness

Be fair and reasonable in all professional relationships. Disclose conflicts of interest.

Principle 5 Confidentiality

Protect the confidentiality of all client information.

Principle 6 Professionalism

Act in a manner that demonstrates exemplary professional conduct.

Principle 7 Diligence

Provide professional services diligently.