

# Business Welcomes Clients Into Its 'Family'

*Firm helps individuals accomplish goals*

By Amy Renczkowski  
Times Associate Editor

**Uncasville** – Doherty Financial Services “helps you plan but takes away the anxiety,” said Michael C. Doherty Jr., financial planner and branch manager of the firm in Uncasville. “That’s why we have the grey hair,” Doherty Jr. laughed.

The only independent firm in Montville, founded in 1997, provides financial planning services, investments, retirement plan services and insurance plans. The Doherty family continues to make the firm a family business, having all grown up in Montville and still residing in the growing community.

“It’s a nice town to grow up and raise a family,” said Michael C. Doherty Sr., Doherty Financial Services financial advisor. Doherty Sr. and his wife, Joan, a secretary at Doherty Financial Services, still reside in the same house his children grew up in for the past 40 years. Doherty Sr. and Jr. sponsor and coach two Little League teams to “give back to the community.” Doherty Sr. said his grandchildren come into the office to help during their school vacation. Doherty Jr.’s wife, Karen, is the bookkeeper for the office.

Doherty Financial Services’ mission is to help clients enhance their quality of life through financial independence and financial security. They plan to achieve their mission by focusing on both their expressed and unexpressed needs and then by providing them the best possible financial advice and products accompanied by personal service.

Doherty Jr. said they’ve had clients who have remained with them for over 20 years.

“We develop a relationship with our clients. We have a personalized approach to a mechanized business,” Doherty Sr. said.



Doherty Financial Services in Uncasville is the only independent financial services firm in Montville. Family members who run the firm are, from left, Michael C. Doherty Sr., Karen Doherty-Perkins, Joan Doherty and Michael C. Doherty Jr. Photo by Amy Renczkowski

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“We work with every individual and client on their situation. We make things very relaxed and make things homey. We talk about your goals, finances and dreams,” Doherty Jr. added.

Doherty Financial Services’ goals are to provide a comfortable environment in which to work and visit, develop long term mutually beneficial relationships and to never lose sight of the fact that clients are the only reason for their existence.

“When clients call they know

they’ll get one of us,” Doherty Sr. said. “It’s that family aspect of things (business) that’s important. They (clients) become our family and friends.”

Doherty Financial Services was founded on the belief that to provide exceptional specialized financial services, the firm had to be free from the influences present at many traditional and large firms. After many years of working with larger firms, the firm felt that decisions regarding what was available for their clients and how they are to be serviced should be made locally. Doherty Financial Services wanted to establish a local firm that had the flexibility to adapt to the changing needs of their clients, according to the firm’s Web site at

[www.dohertyfinancial.com](http://www.dohertyfinancial.com).

The Commonwealth Financial Network, an independent broker/dealer, provides the Uncasville firm with the selection of products and high-quality services to help them address its clients needs. Doherty is able to utilize the service of the Commonwealth Financial Network and personalize them for its clients at a local level according to their Web site.

“It (business) has been great here in Montville. I haven’t looked back,” Doherty Jr. said.

*Doherty Financial Services is located on 212 Norwich/New London Turnpike, P.O. Box 508, Uncasville. For more information, call (860) 848-1881 or visit its Web site at [www.dohertyfinancial.com](http://www.dohertyfinancial.com).*