Documents Needed for Financial Planning Assessment

This material will be treated with confidentiality and returned when the planning process is completed, or earlier if requested.

Investment Accounts

- ☐ Personal Brokerage Statements (within 90 days)
- ☐ Retirement Plan Statement(s)
- ☐ Stock Holdings
- ☐ Pension Projections if applicable

Don't Stress…

The initial part of our process is to gather, organize & assess your investment, retirement & insurance statements.

Later, we may ask for more detailed documents.

Cash Reserves

- ☐ Bank Accounts/Savings Account Statement(s)
- ☐ Certificates of Deposit
- ☐ Savings Bonds

Risk Management

- ☐ Life Insurance Policy and/or recent statement(s)
- ☐ Disability Income insurance policy information
- ☐ Long Term Care policy information
- ☐ Health Insurance, hospital & major medical
- ☐ Umbrella Policy

Employment/Self Employment

- ☐ Retirement Savings Plan Statement(s)
- ☐ Recent Paystub(s)
- ☐ Employment benefits booklet(s)

Sources of Income/Distribution Planning

Are your files stored electronically? Ask us about how to send them securely.

- ☐ Social Security Statement(s)
- ☐ Pension
- ☐ Annuities
- ☐ Part Time employment income
- ☐ Rental income/Other ______________________________

Tax Efficiency

- ☐ Latest Tax Return (1-2 years) plus current status
- ☐ Wills/Trust Agreements
- ☐ Beneficiaries – Up to Date?
- ☐ Charitable Intentions/Planning

Plan Enjoy Share

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