COMMONWEALTH *financial network*

JOURNAL REQUEST

Fax to 866.316.8357 (Waltham and San Diego). Do not mail if faxing.

Please accept this as authorization to journal the positions detailed below:

From Account #: _____ To Account #: _____

From Account Title: _____ To Account Title: _____

_____ _____

☐ Journal all positions and close account. (Skip to signature section below.)

☐ Journal amount: $_____

☐ Journal the position(s) detailed below: (Cannot create fractional shares except in the case of mutual funds)

Share(s)	Name of Position	Symbol

If the receiving account is an NFS prototype retirement account, allocate funds as follows:

☐ Current-year contribution $_____

☐ Prior-year contribution $_____

☐ 60-day rollover contribution $_____

☐ SEP contribution $_____

☐ Keogh contribution $_____

☐ SIMPLE contribution $_____ Prior-Year Employee $_____ Current-Year Employee

$_____ Prior-Year Employer $_____ Current-Year Employer

A client signature(s) is required for all journals between accounts with different registrations. If funds are to be released from an UTMA/UGMA account, I (we) acknowledge that the proceeds will be used for the benefit of the respective minor.

_____ _____

Client Signature Date

_____ _____

Joint Tenant/Co-Trustee (if applicable) Date

Representative's Signature (optional)

_____ _____ _____

Representative's signature is not accepted in place of required client signature(s). Representative # Date