

ELECTRONIC FUNDS TRANSFER (EFT) SETUP FORM

- Use this form only to establish a link between a bank and a brokerage account. Any related cash movement must be initiated by your representative. **Please note:** IRAs require additional documentation.
- This form is not to be used for modifying existing EFT links, PIPs, SWPs, and retirement plan distributions.
- The owner(s) of the brokerage account(s) must also be named as the owner(s) of the bank account in order to establish an EFT link.
- A two-week waiting period is required before the first EFT can be initiated.

ACCOUNT INFORMATION

Account Number(s): _____ IRA Non-IRA
 _____ IRA Non-IRA
 _____ IRA Non-IRA
 _____ IRA Non-IRA
 _____ IRA Non-IRA

BANK INFORMATION

The following banking information will be linked to all accounts listed above:

Bank Name: _____

Bank ABA or Routing Number: _____

Bank Account Number: _____

Checking (Please attach a copy of a voided check.)

Savings

Name(s) on Bank Account: _____

SIGNATURE(S)

I (We) hereby authorize and request Commonwealth Financial Network® (CFN) and National Financial Services LLC (NFS) to initiate credit or debit entries to my (our) account in the bank (Bank) named above, and I (we) hereby authorize and request the Bank to accept any such credit or debit entries initiated by CFN and NFS to such account and to credit or debit, as requested, the same amount to such account, without responsibility for the correctness thereof or for the existence of any further authorization relating thereto. I (We) hereby ratify any telephone instructions given pursuant to this authorization and agree that CFN and NFS will not be liable for any loss, liability, cost, or expense for acting upon this authorization. The Bank indicated above must participate in the Automated Clearing House (ACH) system. All instructions received are subject to a pre-note process that lasts for two weeks before they become active and must include an ABA routing number, type of account (checking or savings), and account number at the Bank.

Print Client Name

Print Client Name

Client Signature

Client Signature

Date

Date